

APPLY FOR AN LEI

IRISH STOCK EXCHANGE ONLINE SERVICE

Global regulators have specified a wide range of financial organisations that need to comply with Legal Entity Identifier (LEI) requirements in order to manage counterparty risk. For entities preparing for LEI implementation, the Irish Stock Exchange (ISE) has an online service for LEI codes on www.isedirect.ie

LEARN MORE ABOUT LEI

WHAT IS LEI?

The LEI is a global reference code which uniquely identifies each legal entity that engages in a financial transaction.

WHAT IS THE PURPOSE OF LEI?

The global LEI system (GLEIS) is part of the international response by regulatory authorities (including G20 and the Financial Stability Board) to the global financial crisis. The LEI is designed to enable the identification and linking of parties to financial transactions in order to facilitate the management of counterparty risk.

IRISH STOCK EXCHANGE LEI SERVICE

The Irish Stock Exchange (ISE) is endorsed by the Regulatory Oversight Committee (ROC) and sponsored by the Central Bank of Ireland as a Local Operating Unit (LOU) and as such all LEI codes issued may be used for reporting and other regulatory purposes in the various jurisdictions represented by the ROC.

HOW TO APPLY FOR LEI CODES?

Using the ISE's LEI link on www.isedirect.ie

WHO NEEDS TO APPLY FOR LEI CODES?

The range of entities requiring LEI codes is broad and includes:

- All entities listed on an exchange
- All entities that issue equity, debt or other securities for other capital structures
- All entities that trade stock or debt, investment vehicles constituted as corporate entities or collective investment agreements (including umbrella funds as well as funds under an umbrella structure, hedge funds, private equity funds, etc.)
- All financial intermediaries
- Banks and finance companies

Can I apply on behalf of another entity?

Yes but a signed letter of authorisation is required

What information do you need to apply?

When applying for a LEI code the following information is relevant:

- Does the legal entity already have a LEI code?
- Official name of the legal entity
- Legal entity address
- Legal jurisdiction
- Company registration number
- VAT number (if it is an EU legal entity incorporated outside of Ireland)
- Signed letter of authorisation (PDF) if applying on behalf of another entity

CONTACT DETAILS

For further information on the ISE LEI service please contact James Ferguson, Gareth O'Neill or any of the Market Services team by email at leirequests@ise.ie



James Ferguson
Head of Operations
and LEI Lead

T +353 1 617 4225
E james.ferguson@ise.ie



Gareth O'Neill
Market and LEI
Services Manager

T +353 1 617 4286
E gareth.oneill@ise.ie

ISEdirect

WHY CHOOSE THE ISE?

Track Record

The ISE is the National Numbering Agency (NNA) for the Republic of Ireland. Since 1995, the ISE has issued over 100,000 ISIN (International Securities Identification Number) and CFI (Classification of Financial Instruments) codes for Irish registered securities.

Simple Online Process

The ISE's online service for LEI codes on www.isedirect.ie is simple to use which ensures a quick, secure and easy application process.

Bulk Orders Facilitated

Some organisations may require multiple codes. If you require more than 10 LEI codes, you can submit a bulk registration on www.isedirect.ie. Simply follow the online instructions.

Cost Effective

- The cost per LEI is €180 (excluding VAT)
- Annual renewal cost per LEI is €130 (excluding VAT)
- Transfer (port) from another pre-LOU free of charge
- For bulk orders (10 or more codes) please request an LEI bulk upload on www.isedirect.ie and avail of a discounted rate

Reminders

The ISE will automatically remind you of your LEI anniversary one month prior to your renewal date.

Regular Updates

The ISE is an active participant in a range of international forums on the development of LEI standard setting. The ISE will keep its registered users and LEI customers informed of developments in LEI standard setting on a regular basis.

About the ISE

The Irish Stock Exchange (ISE) is a key component of Ireland's financial services infrastructure providing access to capital markets for investors, financial institutions and companies as well as a full range of stock exchange services to the wider securities industry. A global leader in the listing of fund and debt securities, the ISE lists over 28,000 securities for clients in 45 countries. The ISE operates a world class, internationally accessible and competitive trading infrastructure for the Irish equity market. The ISE's wider services include the provision of national numbering services, the distribution of market data, a company announcement service and a suite of ISEQ® equity and bond indices.

The ISE is a member of the Federation of European Securities Exchanges (FESE) where it chairs the Economics and Statistics Committee. The ISE is also a member of the Statistics Advisory Group of the World Federation of Exchanges (WFE). FESE and WFE are involved in the development of international information standards for securities.



HOW WILL THE GLOBAL LEI SYSTEM (GLEIS) OPERATE?

Internationally, regulators will oversee adherence to regulatory principles and standards through a Regulatory Oversight Committee (ROC). The Central Bank of Ireland, as the regulatory oversight body in Ireland, is a member of the ROC.

The Global LEI Foundation (GLEIF) has full operational management of the Global LEI System. It will ensure that Local Operating Units (LOUs) adhere to agreed operating principles and standards. The GLEIF is under the supervision of the ROC.

LOUs will use their expertise, infrastructure, knowledge of local corporate structures and business practices to implement the LEI system. The ISE, as a LOU, has a full LEI service offering for relevant entities.

USEFUL LINKS

www.isedirect.ie
ISEdirect - apply, transfer (port) or update an LEI

www.ise.ie
The Irish Stock Exchange

www.leiroc.org
The Regulatory Oversight Committee of LEI

www.financialstabilityboard.org
The Financial Stability Board